

**FACTS**

**WHAT DOES PROTEUS, LLC DO WITH YOUR PERSONAL INFORMATION?**

**Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number
- Name and address
- Transactions relating to your interest in a pooled investment vehicle
- Holdings in one or more pooled investment vehicles

When you are *no longer* an investor in one of our advised funds or otherwise our client, we continue to share your information as described in this notice.

**How?**

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Proteus, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Proteus share?	Can you limit this sharing?
<b>For our everyday business purposes –</b> To affiliates and non-affiliates, such as to process your transactions, maintain your capital account(s), prepare account statements, respond to court orders and legal investigations, report to consumer-reporting agencies, including credit bureaus, or as otherwise authorized by you	Yes, to third party service providers and authorized agents	No
<b>For our marketing purposes –</b> to offer our products and services to you	No	No
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' everyday business purposes –</b> information about your transactions and experiences	No	We don't share
<b>For our affiliates' everyday business purposes –</b> information about your creditworthiness	No	We don't share
<b>For our affiliates to market to you</b>	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share

**Questions?**

Call (317) 653-2756 or go to [www.proteuscapital.us](http://www.proteuscapital.us)

# PROTEUS

## Privacy Policy

Who we are	
<b>Who is providing this notice?</b>	Proteus, LLC, a Delaware limited liability company. Proteus, LLC sponsors an alternative investment platform that makes available to qualified investors, through a fund-of-funds structure, professionally managed investment strategies managed by third-party investment managers.
What we do	
<b>How does Proteus protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also restrict access to information about you to those employees and authorized agents who need to know that information in order to provide products and services to you.
<b>How does Proteus collect my personal information?</b>	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>▪ Submit a completed subscription agreement to subscribe for interests in a pooled investment vehicle we manage</li> <li>▪ Make a wire transfer or tell us where to send money</li> <li>▪ Tell us about your investment history or objectives</li> </ul> <p>We also collect your personal information from others, such as credit bureaus and governmental entities.</p>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> <li>▪ sharing for affiliates' everyday business purposes – information about your creditworthiness</li> <li>▪ affiliates from using your information to market to you</li> <li>▪ sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
Definitions	
<b>Affiliates</b>	Companies related by common ownership or control. Our affiliates include multiple private funds that are managed by Proteus, LLC; Proteus Capital Services, LLC; Proteus Securities, LLC; Proteus Holdings, LLC (parent company of the aforementioned companies) and Keystone International Holdings, Inc., the majority owner of Proteus Holdings, LLC.
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>Proteus shares with nonaffiliates for the purpose of servicing your account, not so they can market to you.</i></li> </ul>
<b>Joint Marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>▪ <i>Amera Securities, LLC</i></li> </ul>
Other important information	
<p>CA Residents: We do not share your personal information for joint marketing with other financial companies.</p> <p>VT Residents: Except as permitted by law, we will not share your personal information with nonaffiliates.</p> <p>MA Residents: We follow an “opt-in” policy as required by Massachusetts General Laws; <i>M.G.L c. 110A 950 CMR 12.205(9)(c)(13)</i>. We will not share non-public personal information with non-affiliated third parties unless a client has opted in of certain sharing through a signed statement in which the client makes an affirmative declaration of permission to disclose such information.</p>	